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NO. 9966 P. 23/54

FEB 15 2007

Docket No. 740756-2435

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )

Hirokazu YAMAGATA et al. )

Serial No. 10/073,284 )

Filed: February 13, 2002 )

For: LIGHT EMITTING DEVICE AND METHOD )  
OF MANUFACTURING THE SAME )

Examiner: George R. Fourson, III

Art Unit: 2823

CERTIFICATE OF MAILING OR TRANSMISSION  
[37 CFR 1.8(a)]

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Mail Stop \_\_\_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or being facsimile transmitted to the USPTO at 571-273-8300 on February 15, 2007.

Signature: \_\_\_\_\_

Name: Anika JohnsonINFORMATION DISCLOSURE STATEMENT

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Application No. 10/073,284  
Docket No. 740756-2435

The following references have all been cited in the Office Action mailed on December 15, 2006 of the counterpart Chinese Patent Application No. 200210004596.8:

EP 1,006,587 A2, Publication Date June 7, 2000

U.S. 5,853,905 A, Publication Date December 29, 1998

U.S. 6,188,176 B1, Publication Date February 13, 2001

In addition, applicants have identified a minor error in the Form PTO-1449 that was filed in the United States Patent and Trademark Office on October 26, 2006. In particular, please note the following correction:

For the cited publication, JP-2000-173778 was listed on the PTO form 1449 as having a Publication Date of June 23, 2006. However, the correct Publication Date is June 23, 2000 -- **JP-2000-173778 06/23/2000.**

In order to correct this error, Applicants enclose a new Form PTO-1449. Applicants respectfully request the Examiner to initial and date the enclosed Form PTO-1449 to indicate consideration of this reference, and to mark through the incorrect entry on the PTO-1449 filed on October 26, 2006.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (740756-2435).

Respectfully submitted,

Dated: February 15, 2007

By: 

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Substitute for form 1449A/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number		10/073,284	
		Filing Date		February 13, 2002	
		First Named Inventor		Hirokazu YAMAGATA et al.	
		Art Unit		2823	
		Examiner Name		George R. Fourson, III	
Sheet	1	of	1	Attorney Docket Number 740756-2435	

  

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,853,905 A	12-29-1998	SO et al.	
		US-6,188,176 B1	02-13-2001	NAKAYA et al.	
		US-			
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 2000173778	06-23-2000			AB
		EP 1006587 A2	06-07-2000			FULL

  

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.